Investment Enquiry

Company / Client Contact Details

Please complete the contact details of the company or group you represent, or on behalf of which this enquiry is being made.

Section 1A – Company contact information

Company Name:	
Registration number:	
Country of Incorporation	
Contact Person	
Your position	
Company Address	
Tel No.	
Email Address	
Business Status: (Type of company)	

Section 1B – Contact information / Personal or individual

Name:	
Current Address	
Date of birth (dd/mm/yy)	
Telephone No.	
Email Address	
Immigration status/Nationality	

Business Proposal

1) Please give a brief description of the Area/Property of Interest

2) What is your Intended use of the property?

3) Please give a brief description of your proposal for development

4) Value of offer and your financial position

5) What are the Economic Benefits for Montserrat?

6) Anticipated local Jobs Created

7) Timeframe

8) Please give details of any relevant experience relating to your business proposal

Declaration:

To the best of my knowledge and belief all particulars supplied by me are correct and complete

You may be asked to provide evidence in support of the statements above

Signature: _____

Date: _____

Please state if answer is "no" or "not applicable" where appropriate

1. Surname Name: _____

   Forename(s): _____

2. a. Has your name changed at any time? Yes ☐ No ☐

   If **YES**, please provide previous name and explain reasons for the change

   _____

   _____

   _____

   _____

   b. Other names **OR** aliases used at any time _____

3. a. Social Security Number: _____

   b. National Insurance Number: _____

   c. Passport Number: _____

   d. Place and Date issued: _____

   (**Please include copy of photo I.D.**)

4. a. Date of Birth: _____

   b. Place of Birth: _____

5. Nationality (indicating whether acquired by **birth**, **descent** or **naturalisation**)

   _____

6. Occupation: _____

7. Business address

   Street: _____

   City: _____

   State/Province: _____

   Country: _____

8. Business Telephone No. _____ Fax/E-mail address: _____

9. Current residential/private address

   Street _____

   City _____

   State/Province _____

   Country _____

10. Have you, in connection with the formation or management of a company/business, partnership or incorporated institution other than a partnership, been adjudged by a court in Montserrat or elsewhere civilly liable for any *fraud*, *misfeasance* or *other misconduct* by you towards such a body or company, or towards any members thereof? Yes ☐ No ☐

    If **Yes**, give particulars

    _____

    _____

    _____

    _____

11. Has any company/business, partnership or unincorporated institution other than a partnership with which you were associated as a director or officer in the last 10 years, in Montserrat or elsewhere, been compulsorily wound up or has made any compromise or arrangement with its creditors, or ceased trading in circumstances where its creditors did not receive or have yet to receive full settlement of their claims, either where you were associated with it or within one year after you ceased to be associated with it? Yes ☐ No ☐

    If **Yes**, give full details.

_____

_____

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_____

12. Have you ever been convicted or had a sentence imposed or suspended or had pronouncement of a sentence pardoned for conviction or pleaded guilty or admitted or denied any information or indictment charging any felony, or charging a misdemeanour involving embezzlement, theft, larceny, or mail fraud, or charging a violation of any corporate securities statute or any insurance law, or have you been the subject of any disciplinary proceedings of any federal or state regulatory agency? Yes ☐ No ☐

If **Yes**, give details:

_____

_____

_____

_____

13. Have you ever been subjected to disciplinary procedures, censured or disciplined by any professional body to which you belong or have belonged? Yes ☐ No ☐

If **Yes**, give details

_____

_____

_____

_____

14. Have you ever been refused entry to any profession or vocation? Yes ☐ No ☐

If **Yes**, give details

_____

_____

15. Have you ever been dismissed or requested to resign from any office or employment?

Yes ☐ No ☐

If **Yes**, give details

16. Have you ever been censured, disciplined by, or made the subject of a court order at the instigation of—

(a) any regulatory authority? Yes ☐ No ☐

(b) any officially appointed enquiry? Yes ☐ No ☐

(c) any other established body concerned with the regulation of a relevant activity? Yes ☐ No ☐

If **Yes**, give details

17. In connection with the formation or management of any company/business have you been disqualified by a court from being a director or from acting in the management or conduct of the affairs of any company/business?

Yes ☐ No ☐

If **Yes**, give details

18. Have you ever –

(a) been adjudged bankrupt by a court in any jurisdiction? _____ Yes ☐ No ☐

(b) had a receiving order made against you? _____ Yes ☐ No ☐

(c) had your estate sequestrated? _____ Yes ☐ No ☐

(d) entered into a deed of arrangement, or other composition or arrangement with your creditors? _____ Yes ☐ No ☐

If **Yes**, give details

_____

_____

_____

_____

19. Has a bankruptcy petition ever been served on you?

Yes ☐ No ☐

If pending, give details of the circumstances and if **NOT** pending, how was the matter resolved?

_____

_____

_____

_____

Due Diligence Check List

- Directorship appointment check
- Criminal history check
- Bankruptcy search
- Real property assets search
- Credit history
- Local press / media check
- Global press / media check
- Property ownership search by subject name
- Property ownership search by address

The availability of information varies considerably between jurisdictions; however Due Diligence checks may often verify the bona fides of a subject and provide the enquirer with an increased level of comfort. The internationally accepted principle of "Know Your Customer" that is embodied in the requirements of compliance and money laundering regulations has become a necessary line of research in business and finance.